

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: <b>Bossman, et al.</b>	
Serial No.: <b>unknown</b>	Group Art Unit: <b>unknown</b>
Filed: <b>4/14/04</b>	Examiner: <b>unknown</b>
Title: <b>Query Workload Statistics Collection in a Database Management System</b>	

**INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

**EXPRESS MAIL CERTIFICATE**

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: April 14, 2004

Person mailing paper/fee: Jeannette Berry Souza

Signature: Jeannette Berry Souza

Respectfully Submitted,

Janet M. Skafar  
Janet M. Skafar, Reg.No. 41315  
Correspondence Customer Number 24852  
Message Telephone: (408)463-5670  
Fax: (408) 463-4827

Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>SVL920030141US1</b>		Serial No. <b>unknown</b>	
<b>INFORMATION DISCLOSURE STATEMENT</b>				Applicant (s): <b>Bossman, et al.</b>			
(Use several sheets if necessary)				Filing Date: <b>April 14, 2004</b>		Group Art Unit: <b>unknown</b>	

  

U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date	
AA	US 6,529,901 B1	Mar. 4, 2003	Chaudhuri et al.	707	3	Feb. 25, 2000	
AB	US 2002/0087518 A1	July 4, 2002	Ellis et al.	707	2	Dec. 26, 2001	
AC	US 2003/0182276 A1	Sept. 25, 2003	Bossman et al.	707	3	Feb. 24, 2003	
AD	US 6,366,901 B1	Apr. 2, 2002	Ellis	707	2	Dec. 16, 1998	
AE	6,026,391	Feb. 15, 2000	Osborn et al.	707	2	Oct. 31, 1997	
AF	6,023,695	Feb. 8, 2000	Osborn et al.	707	3	Oct. 31, 1997	
AG	US 6,351,742 B1	Feb. 26, 2002	Agarwal et al.	707	3	Mar. 18, 1999	

  

FOREIGN PATENT DOCUMENTS							
Document	Date	Country	Class	S u	Translation Yes/No		
BA							

  

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
CA	United States Patent Application title, "Method, System, and Program for Statistics Management," to Patrick Dooling Bossman, You-Chin Fuh, and Chan-Hua Liu, Filed January 7, 2004, Application # 10/752,915, IBM Docket No. SVL920030089US1
CB	A. Shibamiya et al., "DB2 Cost Formula," May 1992, International Business Machines (IBM) Technical Disclosure Bulletin (TDB), Publication No. 12, pp. 389-394
CC	J.M. Cheng et al., "Multiple Indexed Access Path in a Relational Database System," March 1990, International Business Machines (IBM) Technical Disclosure Bulletin (TDB), Publication No. 10b, pp. 388-392
CD	M.M. Astrahan et al., "Access Path Selection in a Relational Database Management System," September 1979, International Business Machines (IBM) Technical Disclosure Bulletin (TDB), pp. 1657-1660
CE	Microsoft Corp., "Creating and Maintaining Databases. Statistical Information," copy of pages 1-3 retrieved on 09/02/2003 from <a href="http://msdn.microsoft.com/library/en-us/createdb/cm_8_des_o5_72r9.asp?frame=true">http://msdn.microsoft.com/library/en-us/createdb/cm_8_des_o5_72r9.asp?frame=true</a>

  

EXAMINER	DATE CONSIDERED
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EXAMINER:	Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).
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Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>SVL920030141US1</b>		Serial No. <b>unknown</b>	
<b>INFORMATION DISCLOSURE STATEMENT</b>				Applicant (s): <b>Bossman, et al.</b>			
(Use several sheets if necessary)				Filing Date: <b>4/14/04</b>		Group Art Unit: <b>unknown</b>	

  

U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date	
AH	US 2003/0065648 A1	Apr. 3, 2003	Driesch, JR. et al.	707	2	Oct. 3, 2001	
AI	US 6,195,653 B1	Feb. 27, 2001	Bleizeffer et al.	707	2	Jan. 13, 2000	
AJ	5,689,698	Nov. 18, 1997	Jones et al.	395	604	Oct. 20, 1995	
AK	US 6,370,523 B1	Apr. 9, 2002	Anderson	707	3	Mar. 27, 1998	
AL	US 6,363,371 B1	Mar. 26, 2002	Chaudhuri et al.	707	2	Jun. 29, 1999	
AM	US 6,401,083 B1	Jun. 4, 2002	Agarwal et al.	707	2	Mar. 18, 1999	
AN							

  

FOREIGN PATENT DOCUMENTS							
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